

ATTY DOCKET #: IIZ.003D24

APPLICANT: Takashi Ohsumi APR 2 5 2005 BOUE DATE:

**SERIAL NO.:** 10/689,936

FILING DATE: October 22, 2003

TITLE: A METHOD FOR FABRICATING A SEMICONDUCTOR APPARATUS

INCLUDING A SEALING MEMBER WITH REDUCED THERMAL

**STRESS** 

## RECEIPT OF THE FOLLOWING PAPERS IS ACKNOWLEDGED:

Transmittal of Information Disclosure Statement; Statement Under 37 C.F.R. 1.97(e) Accompanying Information Disclosure Statement; PTO/SB/08A Form; and Letter of Related Application.

**DATE:** April 25, 2005

**ATTY:** AJT

[Check No. N/A]

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1976) (2)			Docket No. IIZ.003D2C				
In Re Application Of: Takashi Ohsumi							
Application No.	Filing Date	Customer No.	Group Art Unit	Confirmation No.			
Application No. Filing Date Customer No. Group Art Unit Confirmation No.  10/689,936 October 22, 2003 F. Toledo 2823 4027							
Title: A METHOD FOR FABRICATING A SEMICONDUCTOR APPARATUS INCLUDING A SEALING MEMBER WITH REDUCED THERMAL STRESS							
		Address to: Commissioner for Paten P.O. Box 1450 Alexandria, VA 22313-14			w		
	•	37 CFR 1.97(b)					
<ol> <li>The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</li> <li>The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</li> </ol>							
™ ⊠ the	statement specified i	n 37 CFR 1.97(e);			·		
-		OR					
☐ the	the fee set forth in 37 CFR 1.17(p).						
Note: The references listed on Form PTO/SB/08A were cited in copending U.S. Application Serial No. 10/957,620, and thus may be material to the prosecution of the present application.							

TR	ANSMITTA	AL OF INFORMA (Under 37 CFI	TION PISCLOS		TEMENT	11	cket No. 003D2C	
In Re Application: Takashi Ohsumi								
Appl	ication No.	Filing Date	RADESTANINE	r	Customer No.	Group Art Unit	Confirmation No.	
	/689,936	October 22, 2003	F. Toled			2823	4027	
Title: A METHOD FOR FABRICATING A SEMICONDUCTOR APPARATUS INCLUDING A SEALING MEMBER WITH REDUCED THERMAL STRESS								
		(Only con	Paym	ent of Fee	ee set forth in 37	CFR 1 17(n))		
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	I certify that this account is bein	document and authorizating facsimile transmitted emark Office (Fax. No.	ion to charge deposit	I hereby of with the U as first "Commission	certify that this cor inited States Posta class mail in ioner for Patents, 60" [37 CFR 1.8(a)] (Date)	respondence is bei al Service with suffice an envelope ac P.O. Box 1450, Ale	ng deposited cient postage ddressed to exandria, VA	
	Typed or F	Printed Name of Person Sig	ning Certificate	Тур	ed or Printed Name	of Person Mailing C	ertificate	
VOLE ONE I 11951 REST	EW J. TELE NO. 33,581 ENTINE FRAI	Signature SZ, JR.  NCOS & WHITT, P.I QUARE DRIVE, SUITE 1260		Dated:	April 25, 2005			

CC:

STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING INFORMATION DISCLOSTISE STATEMENT				Docket No. IIZ.003D2C			
In Re Application Of: Takashi Ohsumi							
Application No. Filing Date October 22, 2003 F. Toledo Customer No. Group Art Unit Confirmation No. 2823 4027							
Invention: A METHOD FOR FABRICATING A SEMICONDUCTOR APPARATUS INCLUDING A SEALING MEMBER WITH REDUCED THERMAL STRESS							
•		COMMISSIONER FOR PAT					
	under the provision tatement herebelow	is of 37 CFR 1.97(e) in the abover:	e-identified app	olication.			
Statement Under 37 CFR 1.97(e)(1)  Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.							
Statement Under 37 CFR 1.97(e)(2)  No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filling of the Information Disclosure Statement.							
Dated: April 25, 2005  ANDREW J. TELESZ, JR.							
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cc:	Signature of Person Mailing Correspondence  Typed or Printed Name of Person Mailing Correspondence						

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Complete if Known

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1

Complete if Known				
Application Number	10/689,936			
Filing Date	October 22, 2003			
First Named Inventor	Takashi Ohsumi			
Art Unit	2823			
Examiner Name	F. Toledo			
Attorney Docket Number	117 003020			

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	Α	<sup>US-</sup> 5,477,087	12-19-1995	Kawakita et al.	
	В	<sup>US-</sup> 5,431,328	07-11-1995	Chang et al.	
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		FORE	<b>IGN PATENT DOCU</b>	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
•		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	r pp. sam or once booming	Or Relevant Figures Appear	Τ <sup>6</sup>
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	Date	Ï	
Signature	Considered		
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Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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